

## (Kac–Moody) Chevalley groups and Lie algebras with built–in structure constants Lecture 2

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#### TOPICS

- (1) Overview and introductory comments
- (2) Lie algebras: finite and infinite dimensional
- (3) Weights, representations and universal enveloping algebra
- (4) (Kac-Moody) Chevalley groups
- (5) Generators and relations and (Kac–Moody) Groups over  $\mathbb Z$

(6) Structure constants for Kac–Moody algebras and Chevalley groups

Today we will use a class of representations of (Kac–Moody) Lie algebras to construct groups known as (Kac–Moody) Chevalley groups.

We will construct two forms of (Kac–Moody) Chevalley groups: the adjoint form using the adjoint representation and a simply connected form using a more general representation known as a highest weight module.

#### LAST TIME

Let  $A = (a_{ij})_{i,j \in I}$  be a symmetrizable generalized Cartan matrix:  $a_{ij} \in \mathbb{Z}, i, j \in I,$   $a_{ii} = 2, i \in I,$   $a_{ij} \leq 0$  if  $i \neq j$ , and  $a_{ij} = 0 \iff a_{ji} = 0.$ 

Let h be a finite dimensional vector space (Cartan subalgebra).

Let  $\langle \cdot, \cdot \rangle : \mathfrak{h}^* \longrightarrow \mathfrak{h}$  denote the natural nondegenerate bilinear pairing between  $\mathfrak{h}$  and its dual.

Let  $\Pi$  and  $\Pi^{\vee}$  be a choice of *simple roots* and *simple coroots* 

 $\Pi = \{\alpha_1, \dots, \alpha_\ell\} \subseteq \mathfrak{h}^*, \ \Pi^{\vee} = \{\alpha_1^{\vee}, \dots, \alpha_\ell^{\vee}\} \subseteq \mathfrak{h}$ 

such that  $\Pi$  and  $\Pi^{\vee}$  are linearly independent and such that

 $\langle \alpha_j, \alpha_i^{\vee} \rangle = \alpha_j(\alpha_i^{\vee}) = a_{ij}.$ 

We associate a Lie algebra  $\mathfrak{g} = \mathfrak{g}(A)$  over  $\mathbb{C}$ , with generators

 $\mathfrak{h}, (e_i)_{i\in I}, (f_i)_{i\in I}.$ 

## LIE ALGEBRAS: GENERATORS AND DEFINING RELATIONS

The Lie algebra  $\mathfrak{g} = \mathfrak{g}(A)$  over  $\mathbb{C}$  generated by

 $\mathfrak{h}, (e_i)_{i\in I}, (f_i)_{i\in I}.$ 

is subject to defining relations ([Hu], Ch IV, [K], Ch 9, [M]):

$$\begin{split} [\mathfrak{h},\mathfrak{h}] &= 0, \\ [h,e_i] &= \langle \alpha_i,h \rangle e_i, h \in \mathfrak{h}, \\ [h,f_i] &= -\langle \alpha_i,h \rangle f_i, h \in \mathfrak{h}, \\ [e_i,f_i] &= \alpha_i^{\lor}, \\ [e_i,f_j] &= 0, \ i \neq j, \\ (ad\ e_i)^{-a_{ij}+1}(e_j) &= 0, \ i \neq j, \\ (ad\ f_i)^{-a_{ij}+1}(f_j) &= 0, \ i \neq j, \\ \end{split}$$

The algebra  $\mathfrak{g} = \mathfrak{g}(A)$  is infinite dimensional if A is not positive definite and is called a *Kac–Moody algebra*.

#### LIE ALGEBRAS: GENERAL CONSTRUCTION

The algebra g decomposes into a direct sum of root spaces

 $\mathfrak{g} = \mathfrak{n}_{-} \oplus \mathfrak{h} \oplus \mathfrak{n}_{+}$ under the simultaneous adjoint action of  $\mathfrak{h}$ , where

 $\mathfrak{n}_{+} = \bigoplus_{\alpha \in \Delta_{+}} \mathfrak{g}_{\alpha}, \ \mathfrak{n}_{-} = \bigoplus_{\alpha \in \Delta_{-}} \mathfrak{g}_{\alpha}.$ The roots  $\Delta := \Delta_{+} \sqcup \Delta_{-} \subset \mathfrak{h}^{*}$  are the eigenvalues, and the root spaces

$$\mathfrak{g}_{lpha} \;=\; \{x\in \mathfrak{g} \mid [h,x]=lpha(h)x,\; h\in \mathfrak{h}\}$$

are the corresponding eigenspaces, satisfying  $dim(\mathfrak{g}_{\alpha}) < \infty$ . If  $\mathfrak{g} = \mathfrak{g}(A)$  is finite dimensional, then  $dim(\mathfrak{g}_{\alpha}) = 1$  for each root  $\alpha$ . For each simple root  $\alpha_i$ ,  $i \in I$ , we define the simple root reflection

$$w_i(\alpha_j) = \alpha_j - \alpha_j(\alpha_i^{\vee})\alpha_i = \alpha_j - a_{ij}\alpha_i.$$

The  $w_i$  generate a subgroup

 $W = W(A) \subseteq Aut(\mathfrak{h}^*),$ 

called the Weyl group of A.

#### ROOT LATTICE AND WEIGHT LATTICE

The roots  $\Delta$  of  $\mathfrak{g}$  lie on a lattice in  $\mathfrak{h}^*$  called the *root lattice*, denoted Q. We have  $Q = \mathbb{Z}\alpha_1 \oplus \cdots \oplus \mathbb{Z}\alpha_\ell$ .

The weight lattice *P* in  $\mathfrak{h}^*$  is:  $P = \{\lambda \in \mathfrak{h}^* \mid \langle \lambda, \alpha_i^{\vee} \rangle \in \mathbb{Z}, i = 1, \dots \ell\} = \mathbb{Z}\omega_1 \oplus \dots \oplus \mathbb{Z}\omega_\ell.$ 

The dominant integral weights are

 $P_+ = \{ \lambda \in \mathfrak{h}^* \mid \langle \lambda, \alpha_i^{\vee} \rangle \in \mathbb{Z}_{\geq 0}, \ i = 1, \dots \ell \}.$ 

Since  $\langle \alpha_j, \alpha_i^{\vee} \rangle = a_{ij} \in \mathbb{Z}$ ,  $i, j = 1, ..., \ell$ , we have  $\alpha_i \in P$  so roots are weights and thus  $Q \leq P$ .

The index of the root lattice Q as a subgroup of the weight lattice P is finite and is given by |det(A)| where the generalized Cartan matrix A. For example, the fundamental weight of  $\mathfrak{sl}_2$  is

$$\omega = A^{-1}\alpha = \frac{1}{2}\alpha$$

where A = (2).

## (3) WEIGHTS, REPRESENTATIONS AND UNIVERSAL ENVELOPING ALGEBRA

Let g be a Lie algebra or Kac–Moody algebra.

Some of the weights  $\omega \in P$  are related to the representations of  $\mathfrak{g}$ . Let *V* be a  $\mathfrak{g}$ -module with defining representation  $\rho : \mathfrak{g} \to End(V)$ . The *weight space* of *V* with weight  $\mu \in P$  is

 $V_{\mu} = \{ v \in V \mid x \cdot v = \mu(x)v \text{ for all } x \in \mathfrak{h} \}.$ 

The set of weights of the representation V is

 $wts(V) = \{\mu \in P \mid V_{\mu} \neq 0\}.$ 

If  $\mu_1, \mu_2, ..., \mu_n$  are weights of a representation *V*, then the lattice  $L_V$  generated by these weights is

 $L_V = \mathbb{Z}\mu_1 \oplus \mathbb{Z}\mu_2 \oplus \cdots \oplus \mathbb{Z}\mu_n = \{\sum_{i=1}^n a_i \mu_i \mid a_i \in \mathbb{Z}_{\geq 0}\}$ which is a subgroup of *P*.

#### INTEGRABLE REPRESENTATIONS

A  $\mathfrak{g}$ -module *V* is called *integrable* if it is diagonalizable, that is, *V* can be written as a direct sum of its weight spaces:

 $V = \bigoplus_{\lambda \in wts(V)} V_{\lambda}$ 

and if the  $e_i$  and  $f_i$  act *locally nilpotently* on *V*. That is, for each  $v \in V$ ,

$$e_i^{n_i} \cdot v = f_i^{n_i} \cdot v = 0$$

for all  $i \in I$  and for some  $n_i > 0$ .

If  $\mathfrak{g}$  is finite dimensional, then every finite dimensional representation V of  $\mathfrak{g}$  is integrable.

The adjoint representation of a Lie algebra or Kac-Moody algebra g

 $ad:\mathfrak{g}\to\mathfrak{gl}(\mathfrak{g})$ 

 $x \mapsto ad x$ 

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is integrable.

## (KAC–MOODY) CHEVALLEY GROUPS OF ADJOINT TYPE

Let  $\mathfrak{g}$  be a simple Lie algebra or Kac–Moody algebra with generators  $e_i$  and  $f_i$ ,  $i \in I$ . Let  $\Delta$  be the root system of  $\mathfrak{g}$ . Let

 $ad:\mathfrak{g}\to\mathfrak{gl}(\mathfrak{g})$ 

 $x \mapsto ad x$ 

be the adjoint representation. Then

(ad x)(y) = [x, y].

Let  $\alpha \in \Delta$ . Let  $s, t \in \mathbb{C}$  and set  $exp(s \cdot ad(e_i)) = I + s \cdot ad(e_i) + \frac{s^2}{2} \cdot (ad(e_i))^2 + \dots$  $exp(t \cdot ad(f_i)) = I + t \cdot ad(f_i) + \frac{t^2}{2} \cdot (ad(f_i))^2 + \dots$ 

Since  $ad(\mathfrak{g})$  is locally nilpotent, these are well defined elements of  $GL(\mathfrak{g})$ .

# (KAC–MOODY) CHEVALLEY GROUPS OF ADJOINT TYPE

The (Kac-Moody) Chevalley group of adjoint type is defined as

 $G_{ad} = \langle exp(s \cdot ad(e_i)), exp(t \cdot ad(f_i)) \mid i \in I, \alpha \in \Delta, \ s, t \in \mathbb{C} \rangle < GL(\mathfrak{g}).$ 

The adjoint representation  $ad : \mathfrak{g} \longrightarrow \mathfrak{gl}(\mathfrak{g})$  gives rise to a representation

 $Ad: G_{ad} \longrightarrow GL(\mathfrak{g})$ 

such that

exp(ad(x)) = Ad(exp(x))

for all  $x \in \mathfrak{g}$ . It is routine to verify that for all  $g \in G$ ,  $x \in \mathfrak{g}$ ,

 $exp(Ad g)(x)) = g exp(x) g^{-1}.$ 

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### ADJOINT CHEVALLEY GROUP OF $\mathfrak{sl}_2$

For  $\mathfrak{g} = \mathfrak{sl}_2(\mathbb{C})$ , the matrices for  $ad : \mathfrak{g} \to \mathfrak{gl}(\mathfrak{g})$  acting on the basis  $\{e, f, h\}$  for  $\mathfrak{sl}_2(\mathbb{C})$  are

$$ad \ e = \begin{pmatrix} 0 & -2 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \ ad \ f = \begin{pmatrix} 0 & 0 & 0 \\ -1 & 0 & 0 \\ 0 & 2 & 0 \end{pmatrix}, \ ad \ h = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -2 \end{pmatrix}.$$

Now let  $s, t \in \mathbb{C}$ . Then

$$(s \cdot ad \ e)^3 = (t \cdot ad \ f)^3 = 0$$

 $\mathbf{SO}$ 

$$exp(s \cdot ad \ e) = Id + s \cdot ad \ e + \frac{1}{2}(s \cdot ad \ e)^2 = \begin{pmatrix} 1 & -2s & -s^2 \\ 0 & 1 & s \\ 0 & 0 & 1 \end{pmatrix},$$
$$exp(t \cdot ad \ f) = Id + t \cdot ad \ f + \frac{1}{2}(t \cdot ad \ f)^2 = \begin{pmatrix} 1 & 0 & 0 \\ -t & 1 & 0 \\ -t^2 & 2t & 1 \end{pmatrix}.$$

The adjoint group  $G_{ad}$  generated by  $exp(s \cdot ad e)$  and  $exp(t \cdot ad f)$  is isomorphic to (a 3-dimensional representation of)  $PSL_2(\mathbb{C})$ .

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#### HIGHEST WEIGHT MODULES

Let  $\mathcal{U}(\mathfrak{g})$  be the universal enveloping algebra of  $\mathfrak{g}$ . A  $\mathfrak{g}$ -module V is called a *highest weight module* with *highest weight*  $\lambda \in \mathfrak{h}^*$  if there exists  $0 \neq v_{\lambda} \in V$  called a *highest weight vector* such that

$$\mathfrak{n}^+ \cdot v_\lambda = 0,$$

$$h \cdot v_{\lambda} = \lambda(h) v_{\lambda}$$

for  $h \in \mathfrak{h}$  and

 $V = \mathcal{U}(\mathfrak{g})(v_{\lambda}).$ 

Since  $n_+$  annihilates  $v_{\lambda}$  and  $\mathfrak{h}$  acts as scalar multiplication on  $v_{\lambda}$ , we have

 $V = \mathcal{U}(\mathfrak{n}_{-})(v_{\lambda}).$ 

If  $V^{\lambda}$  is a highest weight module with highest weight  $\lambda$ , then all weights of  $V^{\lambda}$  have the form

$$\lambda - \sum_{i=1}^n a_i \alpha_i$$

where  $\alpha_i$  are the simple roots and  $a_i \in \mathbb{Z}_{\geq 0}$ .

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#### EXISTENCE OF HIGHEST WEIGHT MODULES

Let  $\mathfrak{g}$  be a Lie algebra or Kac–Moody algebra. Then for all  $\lambda \in \mathfrak{h}^*$ ,  $\mathfrak{g}$  has a highest weight module *V* with highest weight  $\lambda$  ([MP], Prop 2.3.1).

The highest weight vector  $0 \neq v_{\lambda} \in V$  is unique up to nonzero scalar multiples  $kv_{\lambda}$  and the weight  $\lambda$  of  $v_{\lambda}$  is unique.

We have

$$V = \bigoplus_{\mu \in wts(V)} V_{\mu}, \quad dim(V_{\mu}) < \infty, \quad V_{\lambda} = \mathbb{C}v_{\lambda}.$$

Among all modules with highest weight  $\lambda \in \mathfrak{h}^*$ , there is a unique one that is irreducible as a  $\mathfrak{g}$ -module, that is, has no proper  $\mathfrak{g}$ -submodules except the trivial one ([K], Prop 9.3). We will often denote this by  $V^{\lambda}$ .

The module  $V^{\lambda}$  is integrable if and only if  $\lambda \in P_+$ , that is,  $\lambda$  is a dominant integral weight ([K], Lemma 10.1).

### SUMMARY: HIGHEST WEIGHT MODULES

Let  $\lambda \in \mathfrak{h}^*$  be a dominant integral weight. That is

 $\lambda \in P_+ = \{\lambda \in \mathfrak{h}^* \mid \langle \lambda, \alpha_i^{\vee} \rangle \in \mathbb{Z}_{\geq 0}, \ i = 1, \dots \ell \}.$ 

Then there is a unique irreducible highest weight  $\mathfrak{g}$ -module  $V^{\lambda}$ .

Since  $\lambda$  is dominant,  $V^{\lambda}$  is integrable.

For Kac–Moody algebras g, the adjoint representation is integrable but is not a highest weight module.

For simple Kac–Moody algebras  $\mathfrak{g}$ , all  $\mathfrak{g}$ –modules  $\{0\} \neq V$  are faithful, since  $K = ker(\mathfrak{g} \rightarrow End(V))$  is an ideal, hence  $K = \{0\}$ .

### FAITHFUL $\mathfrak{g}$ -MODULES $V^{\lambda}$

The following lemma holds for both Lie algebras and Kac–Moody algebras  ${\mathfrak g}.$ 

**Lemma.** If  $V^{\lambda}$  is a faithful highest weight  $\mathfrak{g}$ -module with highest weight  $\lambda$ , then the lattice generated by the weights of  $V^{\lambda}$  contains the root lattice  $Q = \mathbb{Z}\alpha_1 \oplus \ldots \mathbb{Z} \oplus \alpha_{\ell}$ .

*Proof:* Since  $V^{\lambda}$  is faithful, no  $e_i$  or  $f_i$  acts trivially on  $V^{\lambda}$ . So there exists  $\mu \in wts(V^{\lambda})$  such that  $e_i$  or  $f_i$  does not act trivially on  $V^{\lambda}_{\mu}$ . We have

 $e_i: V^{\lambda}_{\mu} \to V^{\lambda}_{\mu+lpha_i}$  $f_i: V^{\lambda}_{\mu} \to V^{\lambda}_{\mu-lpha_i}$ 

so  $\mu + \alpha_i$ ,  $\mu - \alpha_i \in wts(V^{\lambda})$ , and thus  $\alpha_i \in wts(V^{\lambda})$ . It follows that the lattice generated by the weights of  $V^{\lambda}$  contains the root lattice.  $\Box$ 

### Universal enveloping algebra $\mathcal{U}_{\mathbb{C}}(\mathfrak{g})$

Let  $T(\mathfrak{g})$  denote the tensor algebra of  $\mathfrak{g}$ :

 $T(\mathfrak{g}) = \mathbb{C} \oplus \mathfrak{g} \oplus (\mathfrak{g} \otimes \mathfrak{g}) \oplus (\mathfrak{g} \otimes \mathfrak{g} \otimes \mathfrak{g}) \oplus \cdots$ 

We may view elements of  $T(\mathfrak{g})$  as formal noncommutative products, or 'power series' with  $\mathfrak{g}$  as the single variable. We define

 $\mathcal{U}_{\mathbb{C}}(\mathfrak{g}) = T(\mathfrak{g})/I$ 

where *I* is the two-sided ideal generated by elements of the form

 $a \otimes b - b \otimes a - [a, b] \in \mathfrak{g} \oplus (\mathfrak{g} \otimes \mathfrak{g}) \subset T(\mathfrak{g})$ 

Then  $\mathcal{U}_{\mathbb{C}}(\mathfrak{g})$  is an associative algebra containing all possible polynomials in the  $e_i$ ,  $f_i$  and  $h \in \mathfrak{h}$  as well as all their products, subject to the natural relations in  $\mathfrak{g}$ .

## $\mathbb{Z} ext{-form of }\mathcal{U}_{\mathbb{C}}(\mathfrak{g})$

Let  $\mathcal{U}_{\mathbb{C}} = \mathcal{U}_{\mathbb{C}}(\mathfrak{g})$  be the universal enveloping algebra of  $\mathfrak{g}$ . Choose a lattice  $\Lambda$  between Q and P, that is  $Q \leq \Lambda \leq P$ , such that there are maps  $i \mapsto \alpha_i$  and  $i \mapsto \alpha_i^{\vee}$  from I to  $\Lambda$  and its  $\mathbb{Z}$ -dual  $\Lambda^{\vee}$  where  $a_{ij} = \langle \alpha_j, \alpha_i^{\vee} \rangle$ ,  $a_{ij} \in A$  and A is the generalized Cartan matrix of  $\mathfrak{g}$ .

 $\mathcal{U}_\mathbb{Z} \subseteq \mathcal{U}_\mathbb{C}$  be the  $\mathbb{Z}\text{-subalgebra generated by the elements}$ 

$$\frac{e_i^m}{m!}, \ \frac{f_i^m}{m!}, \ \binom{h}{m} = \frac{h(h-1)\dots(h-m+1)}{m!}$$

for  $i \in I$ ,  $h \in \Lambda^{\vee}$  and  $m \ge 0$ ,  $\mathcal{U}_{\mathbb{Z}}^{+}$  be the  $\mathbb{Z}$ -subalgebra generated by  $\frac{e_{i}^{m}}{m!}$  for  $i \in I$  and  $m \ge 0$ ,  $\mathcal{U}_{\mathbb{Z}}^{-}$  be the  $\mathbb{Z}$ -subalgebra generated by  $\frac{f_{i}^{m}}{m!}$  for  $i \in I$  and  $m \ge 0$ ,  $\mathcal{U}_{\mathbb{Z}}^{0} \subseteq \mathcal{U}_{\mathbb{C}}(\mathfrak{h})$  be the  $\mathbb{Z}$ -subalgebra generated by  $\binom{h}{m}$ , for  $h \in \Lambda^{\vee}$  and  $m \ge 0$ .

## A $\mathbb{Z}$ -form of $V^{\lambda}$

Let  $\mathbb{K}$  be a field. Let  $V^{\lambda}$  be the unique integrable highest weight  $\mathfrak{g}$ -module corresponding to the dominant integral weight  $\lambda$ . We have

$$\mathcal{U}^+_{\mathbb{Z}} \cdot v_\lambda = \mathbb{Z} v_\lambda$$

since all elements of  $\mathcal{U}_{\mathbb{Z}}^+$  except for 1 annihilate  $v_{\lambda}$ . Also

 $\mathcal{U}^0_{\mathbb{Z}} \cdot v_\lambda = \mathbb{Z} v_\lambda$ 

 $\mathcal{U}^0_{\mathbb{Z}}$  acts as scalar multiplication on  $v_{\lambda}$  by a  $\mathbb{Z}$ -valued scalar. Thus we have

$$\mathcal{U}_{\mathbb{Z}} \cdot v_{\lambda} = \mathcal{U}_{\mathbb{Z}}^{-} \cdot (\mathbb{Z}v_{\lambda}) = \mathcal{U}_{\mathbb{Z}}^{-} \cdot (v_{\lambda}).$$

We set

$$V^\lambda_\mathbb{Z} \ = \ \mathcal{U}_\mathbb{Z} \cdot v_\lambda \ = \ \mathcal{U}^-_\mathbb{Z} \cdot (v_\lambda)$$

Then  $V_{\mathbb{Z}}^{\lambda}$  is a lattice in  $V_{\mathbb{K}}^{\lambda} = \mathbb{K} \otimes_{\mathbb{Z}} V_{\mathbb{Z}}^{\lambda}$  and a  $\mathcal{U}_{\mathbb{Z}}$ -module.

### SIMPLY CONNECTED CHEVALLEY GROUPS: OVERVIEW

Chevalley constructed a  $\mathbb{Z}$ -form  $\mathcal{U}_{\mathbb{Z}}$  of the universal enveloping algebra  $\mathcal{U}$  of a complex simple Lie algebra  $\mathfrak{g}$ . This is a subring  $\mathcal{U}_{\mathbb{Z}}$  of  $\mathcal{U}_{\mathbb{C}}$  such that the canonical map

 $\mathcal{U}_{\mathbb{Z}}\otimes \mathbb{C} \longrightarrow \mathcal{U}_{\mathbb{C}}$ 

is bijective. He then defined a  $\mathbb{Z}$ -form of  $\mathfrak{g}$ :

 $\mathfrak{g}_{\mathbb{Z}}=\mathfrak{g}_{\mathbb{C}}\cap\mathcal{U}_{\mathbb{Z}}.$ 

For  $\mathbb{K}$  an arbitrary field, we set

 $\begin{aligned} \mathcal{U}_{\mathbb{K}} &= \mathcal{U}_{\mathbb{Z}} \otimes \mathbb{K} \\ \mathfrak{g}_{\mathbb{K}} &= \mathfrak{g}_{\mathbb{Z}} \otimes \mathbb{K}. \end{aligned}$ 

Let  $V^{\lambda}$  be the irreducible highest weight module corresponding to a dominant integral weight  $\lambda$ .

A simply connected Chevalley group  $G_{\mathbb{K}}$  is generated by elements of  $Aut(V_{\mathbb{K}}^{\lambda})$ , where  $V_{\mathbb{K}}^{\lambda} = \mathbb{K} \otimes_{\mathbb{Z}} V_{\mathbb{Z}}^{\lambda}$  for a  $\mathbb{Z}$ -form  $V_{\mathbb{Z}}^{\lambda}$ .

We will extend this construction to infinite dimensions.

## (4) (KAC-MOODY) CHEVALLEY GROUPS

**Theorem**([CL]) Let  $\mathfrak{g}$  be a symmetrizable Lie algebra or Kac–Moody algebra over  $\mathbb{C}$ . Let  $\mathbb{K}$  be an arbitrary field. Let  $\alpha_i$ ,  $i \in I$ , be the simple roots and  $e_i$ ,  $f_i$  the generators of  $\mathfrak{g}$ . Let  $V_{\mathbb{K}}^{\lambda}$  be a  $\mathbb{K}$ -form of an integrable highest weight module  $V^{\lambda}$  for  $\mathfrak{g}$ , corresponding to dominant integral weight  $\lambda$  and defining representation  $\rho : \mathfrak{g} \to End(V_{\mathbb{K}}^{\lambda})$ . For  $s, t \in \mathbb{K}$ , let

$$\chi_{\alpha_i}(s) = exp(\rho(se_i)), \ \chi_{-\alpha_i}(t) = exp(\rho(tf_i)).$$

Then

$$G^{V^{\lambda}}(\mathbb{K}) = \langle \chi_{\alpha_i}(s), \ \chi_{-\alpha_i}(t) \mid s, t \in \mathbb{K} \rangle \leq Aut(V_{\mathbb{K}}^{\lambda})$$

is a simply connected (Kac–Moody) Chevalley group corresponding to  $\mathfrak{g}$ .

This construction is a natural generalization of the theory of elementary Chevalley groups over fields and can be extended to commutative rings (as in [Ch], [St]).

A similar construction for  $G^{V^{\lambda}}$  was used in [CG] to construct Kac–Moody groups over finite fields.

# Simply connected Chevalley group corresponding to $\mathfrak{sl}_2$

We have

root lattice  $Q = \mathbb{Z}\alpha$  and weight lattice  $P = \mathbb{Z}\omega = \frac{1}{2}\mathbb{Z}\alpha$ ,

where  $\alpha$  is the simple root. Thus  $P/Q = \mathbb{Z}/2\mathbb{Z}$ , hence the simply connected group is not isomorphic to the adjoint group.

Choose  $\lambda = \omega$ , where  $\omega$  is the fundamental weight and let  $V^{\omega}$  be the corresponding integrable highest weight module.

Let  $\rho : \mathfrak{g} \to End(V^{\omega})$  be the defining representation of  $V^{\omega}$ . Let  $v^{\omega}$  be a highest weight vector and let  $V^{\omega}_{\mathbb{Z}}$  be the orbit of  $v^{\omega}$  under  $\mathcal{U}_{\mathbb{Z}}$ 

$$V^{\omega}_{\mathbb{Z}} \;=\; \mathcal{U}_{\mathbb{Z}} \cdot v^{\omega} \;=\; \mathcal{U}^{-}_{\mathbb{Z}} \cdot v^{\omega}$$

where

$$\frac{(\rho(f))^n}{n!} \cdot v_{\omega} \in V_{\omega - n\alpha}, \ n \ge 0$$

where  $V_{\omega-n\alpha}^{\omega}$  is the weight space of  $V^{\omega}$  of weight  $\omega - n\alpha$ .

# SIMPLY CONNECTED CHEVALLEY GROUP CORRESPONDING TO $\mathfrak{sl}_2$

Let  $\mathbb{K}$  be an arbitrary field. The simply connected group  $G^{V^{\omega}}(\mathbb{K}) < End(V^{\omega})$  is the group  $SL_2(\mathbb{K})$ . That is,

$$G^{V^{\omega}}(\mathbb{K}) = \langle \chi_{\alpha}(s), \chi_{-\alpha}(t) \mid s, t \in \mathbb{K} \rangle$$
  
=  $\langle exp(s\rho(e)), exp(t\rho(f)) \mid s, t \in \mathbb{K} \rangle$   
=  $\langle \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix} \mid s, t \in \mathbb{K} \rangle$   
=  $SL_{2}(\mathbb{K}).$ 

For a  $\mathbb{K}$ -form  $\mathfrak{g}_{\mathbb{K}} = \mathfrak{g}_{\mathbb{Z}} \otimes \mathbb{K}$  of  $\mathfrak{g}_{\mathbb{C}}$ , the adjoint group is

 $G^{V^{\alpha}}(\mathbb{K}) \cong PSL_2(\mathbb{K}).$ 

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## SUMMARY: SIMPLY CONNECTED (KAC–MOODY) CHEVALLEY GROUP

To construct a (Kac–Moody) Chevalley group, we will use the following data associated to a simple Lie algebra or Kac–Moody algebra g:

(i) a lattice  $\Lambda$  with  $Q \leq \Lambda \leq P$ , such that there are maps  $i \mapsto \alpha_i$  and  $i \mapsto \alpha_i^{\vee}$  from *I* to  $\Lambda$  and its  $\mathbb{Z}$ -dual  $\Lambda^{\vee}$  where  $a_{ij} = \langle \alpha_j, \alpha_i^{\vee} \rangle$ ,  $a_{ij} \in A$ .

 $(ii) \quad \text{a dominant integral weight } \lambda \in P_+ \text{ and unique irreducible highest weight module } V^\lambda,$ 

(*iii*)  $\mathcal{U}_{\mathbb{Z}}(\mathfrak{g})$ , a  $\mathbb{Z}$ -form of  $\mathcal{U}_{\mathbb{C}}(\mathfrak{g})$ , that is, the  $\mathbb{Z}$ -subalgebra generated by

$$\frac{e_i^m}{m!}, \ \frac{f_i^m}{m!}, \ i \in I, \ \binom{h}{m}, \ h \in \Lambda^{\vee}, \ m \geq 0,$$

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(*iv*)  $V_{\mathbb{Z}}^{\lambda} = \mathcal{U}_{\mathbb{Z}}(\mathfrak{g}) \cdot v_{\lambda}$ , a  $\mathbb{Z}$ -form of  $V^{\lambda}$ , (*v*)  $V_{\mathbb{K}}^{\lambda} = \mathbb{K} \otimes_{\mathbb{Z}} V_{\mathbb{Z}}^{\lambda}$ , for  $\mathbb{K}$  an arbitrary field.

### DEPENDENCE ON CHOICES

Our group constructions depend on

– A choice of lattice  $Q \le \Lambda \le P$  between the root lattice Q and weight lattice P,

– A dominant integral weight  $\lambda$ , and an integrable highest weight module  $V^{\lambda}$ ,

 $- \operatorname{A} \mathbb{Z}$ -form  $V_{\mathbb{Z}}^{\lambda}$ .

The lattice  $\Lambda$  can be realized as the lattice of weights of a suitable representation *V*.

Conversely, the additive group generated by all the weights of a faithful representation *V* of  $\mathfrak{g}$  forms a lattice  $\Lambda = L_V$  between *Q* and *P* ([St], Lemma 27).

The simply connected group has desirable properties when we choose a highest weight module whose set of weights contains all the fundamental weights. For example:

 $-L_V = Q$  if *V* is the adjoint representation, and

 $-L_V = P$  if  $V = V^{\omega_1 + \dots + \omega_\ell}$ , the highest weight module corresponding to the sum of the fundamental weights.

#### DEPENDENCE ON CHOICES: PARTIAL RESULTS

Finite dimensional Chevalley groups are independent of the choice of  $V^{\lambda}$  for  $\lambda \in Q$  or  $\lambda \in P$  and of the  $\mathbb{Z}$ -form  $V_{\mathbb{Z}}^{\lambda}$  ([Hu], Ch 27).

Garland gave a representation theoretic construction of affine Kac–Moody groups as central extensions of loop groups, where each central extension corresponds to a unique cohomology class represented by a cocycle, known as the *Steinberg cocycle*.

He characterized the dependence on the choice of highest weight module  $V^{\lambda}$  for affine groups in terms of the Steinberg cocycle ([Ga1]). For general Kac–Moody groups, the dependence on the choice of  $V^{\lambda}$ is not completely understood.

In [CW], the authors gave some preliminary results about the dependence of  $G_{V^{\lambda}}(\mathbb{Z})$  on  $\lambda$  when  $\mathfrak{g}$  is simply laced and hyperbolic.

For example, we conjectured that the discrepancy between the groups  $E_{10}^{V^{\lambda}}(\mathbb{Z})$ , as  $\lambda$  varies over the dominant integral weights, is contained in a finite abelian group of order at most  $(\mathbb{Z}/2\mathbb{Z})^{10}$ .

### Some applications

Here are some choices of modules V for  $E_9$ ,  $E_{10}$  and  $E_{11}$  that have physical relevance for the study of symmetries of supergravity and superstring theory.

Algebra	Highest weight module
	$V=V^{\omega_1}$
$\mathfrak{e}_9(\mathbb{C})$	$V$ integrable with high. wt. vector $v^{\omega_1}$
	corresp. to fund. weight $\omega_1$
	$V=V^{\omega_1+\dots+\omega_{10}}$
$\mathfrak{e}_{10}(\mathbb{C})$	V integrable with high. wt. vector
	$v^{\omega_1+\dots+\omega_{10}}$
	$V=V^{\omega_{11}}$
$\mathfrak{e}_{11}(\mathbb{C})$	$V$ integrable with high. wt. vector $v^{\omega_{11}}$
	corresp. to fund. weight $\omega_{11}$

These choices give rise to group constructions which are useful in physical models. For example our generating set for  $E_{11}$  was used in [GW].